

3623 # B1

Attorney Docket No. DEM1P007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In the Patent Application of: NEAL et al.

GROUP 3600

Serial No.: 09/888,340

Art Unit: 3623

Filed: June 22, 2001

Examiner: Robinson Boyce, Akiba K.

For: PRICE OPTIMIZATION WITH RULE RELAXATION

Mail Stop: Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

September 26, 2003

Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 26, 2002.

Signed:

Kang S. Lim

Dear Sirs:

<u>INFORMATION DISCLOSURE STATEMENT FILED</u> <u>UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)</u>

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search-has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3)

months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R § 1.97(b), but before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

A certification pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

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Respectfully submitted,

Kang S. Lim

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LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56

APPLICANT NEAL

FILING DATE 6/22/01

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EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUBCLASS					
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		OTHER DO	CUMENTS	(Including Author, Title, Date, Pe	ertinent Pages, L	Etc.)					
	CA	"PCT Inter	national Sea	ch Report", Application Nun	nber PCT/US)2/14977,	mailed May				
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		Decision S	Decision Support Software, 1988, Pages 2-7, 73-108.								
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EXAMINER

DATE CONSIDERED

GROUP 3600

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.